

# Changes in Sub. S-hldr's Int (Section 138 of CA 2016)

## SWS CAPITAL BERHAD

### Particulars of substantial Securities Holder

|                                      |   |
|--------------------------------------|---|
| Name                                 | TAN SRI DATO' SERI TAN KING TAI @ TAN KHOON HAI |
| Nationality/Country of incorporation | Malaysia  |
| Descriptions (Class)                 | Warrants  |

### Details of changes

| No | Date of change                                     | No of securities | Type of Transaction | Nature of Interest |
|----|--|------------------|---------------------|--------------------|
| 1  | 15 Oct 2020  | 998,900          | Disposed            | Indirect Interest  |
|    | <b>Name of registered holder</b>                   | TAN KEAN AIK     |                     |                    |
|    | <b>Description of "Others" Type of Transaction</b> |                  |                     |                    |
| 2  | 16 Oct 2020  | 383,300          | Disposed            | Indirect Interest  |
|    | <b>Name of registered holder</b>                   | TAN KEAN AIK     |                     |                    |
|    | <b>Description of "Others" Type of Transaction</b> |                  |                     |                    |
| 3  | 19 Oct 2020  | 1,000,675        | Disposed            | Indirect Interest  |
|    | <b>Name of registered holder</b>                   | TAN KEAN AIK     |                     |                    |
|    | <b>Description of "Others" Type of Transaction</b> |                  |                     |                    |

|   |                   |
|---|-------------------|
| <b>Circumstances by reason of which change has occurred</b> | Open market deal  |
| <b>Nature of interest</b>                                   | Indirect Interest |
| <b>Direct (units)</b>                                       | 8,698,512         |
| <b>Direct (%)</b>   | 9.541             |
| <b>Indirect/deemed interest (units)</b>                     | 13,697,463        |
| <b>Indirect/deemed interest (%)</b>                         | 15.024            |
| <b>Total no of securities after change</b>                  | 22,395,975        |
| <b>Date of notice</b>                                       | 19 Oct 2020       |
| <b>Date notice received by Listed Issuer</b>                | 19 Oct 2020       |

#### Remarks :

The above disposal represented 2.614% of the outstanding Warrants of the Company.

Total no. of warrants held after the above transaction:

Direct interest:

Tan Sri Dato' Seri Tan King Tai @ Tan Khoon Hai - 8,698,512 Warrants

Indirect interest:

Puan Sri Datin Seri Chan Mei Cheng (Wife) - 4,387,328 Warrants

Tan Hui Ting (Daughter) - 2,717,812 Warrants

Tan Hui Lun (Daughter) - 4,348,395 Warrants

Tan Kean Aik (Son) - 2,243,928 Warrants