

Changes in Sub. S-hldr's Int (Section 138 of CA 2016)

SWS CAPITAL BERHAD

Particulars of substantial Securities Holder

Name	MR NEO TIAM HOCK
Nationality/Country of incorporation	Malaysia
Descriptions (Class)	Ordinary shares

Details of changes

No	Date of change	No of securities	Type of Transaction	Nature of Interest
1	13 Jan 2020	931,000	Disposed	Direct Interest
	Name of registered holder	NEO TIAM HOCK		
	Address of registered holder	51-3 Jalan Khalidi 84000 Muar Johor		
	Description of "Others" Type of Transaction			
2	14 Jan 2020	350,000	Disposed	Direct Interest
	Name of registered holder	NEO TIAM HOCK		
	Address of registered holder	51-3 Jalan Khalidi 84000 Muar Johor		
	Description of "Others" Type of Transaction			

Circumstances by reason of which change has occurred	Open market deal
Nature of interest	Direct Interest
Direct (units)	5,179,056
Direct (%)	2.84
Indirect/deemed interest (units)	5,476,896
Indirect/deemed interest (%)	3.004
Total no of securities after change	10,655,952
Date of notice	14 Jan 2020
Date notice received by Listed Issuer	14 Jan 2020

Remarks :

The above disposal represented 0.703% of the total issued share capital of the Company.

Total no. of securities held after the above transaction:

Ordinary shares:

Direct interest:

Neo Tiam Hock - 5,179,056 (2.840%)

Indirect interest:

Ng Lai Choo (Wife) - 12,500 (0.007%)

Neo Chee Kiat (Son) - 5,447,762 (2.988%)

Neo Chee How (Son) - 11,442 (0.006%)

Neo Chee Hsian (Son) - 5,192 (0.003%)