

## Changes in Sub. S-hldr's Int (Section 138 of CA 2016)

### SWS CAPITAL BERHAD

#### Particulars of substantial Securities Holder

Name	MR NEO TIAM HOCK
Nationality/Country of incorporation	Malaysia
Descriptions (Class)	Ordinary shares

#### Details of changes

No	Date of change	No of securities	Type of Transaction	Nature of Interest
1	06 Jul 2017	6,600,000	Disposed	Direct Interest
	<b>Name of registered holder</b>	NEO TIAM HOCK		
	<b>Address of registered holder</b>	51-3 Jalan Khalidi 84000 Muar Johor		
	<b>Description of "Others" Type of Transaction</b>			
2	06 Jul 2017	1,500,000	Disposed	Indirect Interest
	<b>Name of registered holder</b>	NEO CHEE KIAT		
	<b>Address of registered holder</b>	51-3 Jalan Khalidi 84000 Muar Johor		
	<b>Description of "Others" Type of Transaction</b>			
3	06 Jul 2017	730,000	Disposed	Indirect Interest
	<b>Name of registered holder</b>	Neo CHee How		
	<b>Address of registered holder</b>	48-11 Jalan Khalidi 84000 Muar Johor		
	<b>Description of "Others" Type of Transaction</b>			
4	06 Jul 2017	720,000	Disposed	Indirect Interest
	<b>Name of registered holder</b>	NEO CHEE HSIAN		
	<b>Address of registered holder</b>	51-3 Jalan Khalidi 84000 Muar Johor		
	<b>Description of "Others" Type of Transaction</b>			

<b>Circumstances by reason of which change has occurred</b>	Off market deal: -Direct interest: 6,600,000 shares -Indirect interest: -1,500,000 shares disposed by his son, Mr Neo Chee Kiat -730,000 shares disposed by his son, Mr Neo Chee How - 720,000 shares disposed by his son, Mr Neo Chee Hsian
<b>Nature of interest</b>	Direct and Indirect Interest
<b>Direct (units)</b>	5,168,045
<b>Direct (%)</b>	3.543
<b>Indirect/deemed interest (units)</b>	9,381,518
<b>Indirect/deemed interest (%)</b>	6.431
<b>Total no of securities after change</b>	14,549,563
<b>Date of notice</b>	06 Jul 2017
<b>Date notice received by Listed Issuer</b>	06 Jul 2017

#### Remarks :

The above disposal represented 6.547% of the total issued and paid up share capital of the Company.

Total no. of securities held after the above transaction:

Ordinary shares:

*Direct interest:*

*Neo Tiam Hock - 5,168,045 (3.543%)*

*Indirect interest:*

*Ng Lai Choo (Wife) - 10,000 (0.007%)*

*Neo Chee Kiat (Son) - 9,358,210 (6.415%)*

*Neo Chee How (Son) - 9,154 (0.006%)*

*Neo Chee Hsian (Son) - 4,154 (0.003%)*